

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

Docket No. **9764.00**

Application of

Paul Nielsen et al.

Serial No. **10/051,358**

Filed: **January 18, 2002**

For: **SELF-SERVICE TERMINAL**

Confirmation No. **9290**

Group Art Unit: **3696**

Examiner: **Frantzy Poinvil**

DEC 01 2009

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Refund Section
Accounting Division
Office of Finance

REQUEST FOR REFUND

Sir:

This is a request for a refund with respect to the charge to NCR Deposit Account No. 140225, shown on the enclosed statement dated October 2009. The charge of \$810 (the second item highlighted in yellow from the bottom of the monthly statement) is the amount requested to be refunded.

Applicant notes from the Office Action dated November 2, 2007 (copy attached) in the present application that the Examiner considered the wrong Information Disclosure Statement (see sheet 8 of the IDS). The IDS was for Application Serial No. 10/051,353 and not 10/051,358 which is the present application.

Applicant has had to file an RCE on October 2, 2009 so that the Examiner can consider the correct IDS which was previously filed by the Applicant on March 14, 2002. A copy of the IDS filed by the Application on March 14, 2002 is attached herewith. Accordingly, Applicant requests that the amount paid (\$810) for filing of the RCE on October 2, 2009 be refunded and credited to NCR Deposit Account No. 140225 since the

Office made the mistake of considering the wrong IDS during prosecution of the present application.

If any additional information is needed to process this Request For Refund, please contact the undersigned at 937-445-4956.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Michael Chan", is written over a horizontal line.

Michael Chan
Reg. No. 33,663
Attorney for Applicants

NCR Corporation, Law Department, WHQ-3E
1700 S. Patterson Blvd., Dayton, OH 45479-0001
Tel. No. 937-445-4956/Fax No. 937-445-6794

DEC 01 2009



**United States
Patent and
Trademark Office**



Deposit Account Statement

Requested Statement Month: October 2009
Deposit Account Number: 140225
Name: NCR CORPORATION
Attention: IP SECTION - LAW DEPARTMENT
Street Address 1: 1700 S. PATTERSON BLVD.
Street Address 2:
City: DAYTON
State: OH
Zip: 45479
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/01	4614	61247116	9-441	1005	\$220.00	\$272,068.64
10/01	4912	61247125	14754.00	1005	\$220.00	\$271,848.64
10/01	5602	12570370		1111	\$540.00	\$271,308.64
10/01	5601	12570370		1011	\$330.00	\$270,978.64
10/01	5603	12570370		1311	\$220.00	\$270,758.64
10/01	6026	12570405	09-500.00	1311	\$220.00	\$270,538.64
10/01	6025	12570405	09-500.00	1111	\$540.00	\$269,998.64
10/01	6024	12570405	09-500.00	1011	\$330.00	\$269,668.64
10/01	6433	12570433	09-588.00	1311	\$220.00	\$269,448.64
10/01	6431	12570433	09-588.00	1011	\$330.00	\$269,118.64
10/01	6432	12570433	09-588.00	1111	\$540.00	\$268,578.64
10/01	6922	12570487	09-624.00	1111	\$540.00	\$268,038.64
10/01	6920	12570487	09-624.00	1011	\$330.00	\$267,708.64
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10/02	42	12564411	9-379 500.0432	1111	\$540.00	\$263,708.64
10/02	43	12564411	9-379 500.0432	1311	\$220.00	\$263,488.64
10/02	13243	10051358	9764.00	1801	\$810.00	\$262,678.64
10/05	59	09946029	1070A1	1402	\$540.00	\$262,138.64



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
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mc -

Due Feb 2, 2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/051,358	01/18/2002	Paul Nielsen	9764.00	9290

26889 7590 11/02/2007
MICHAEL CHAN
NCR CORPORATION
1700 SOUTH PATTERSON BLVD
DAYTON, OH 45479-0001

EXAMINER

POINVIL, FRANTZY

ART UNIT	PAPER NUMBER
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3692

MAIL DATE	DELIVERY MODE
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11/02/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

opt

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NOV 05 2007
LAW DEPARTMENT

Office Action Summary

Application No.

10/051,358

Applicant(s)

NIELSEN ET AL.

Examiner

Frantzy Poinvil

Art Unit

3692

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 January 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-16 is/are pending in the application.
- 4a) Of the above claim(s) 6-16 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 7/17/02 & 3/21/02
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- ☐ Notice of Informal Patent Application
- ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 112

1. Claims 2-5 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

As per claim 2, line 3, "a" before "mobile portal" should be changed to - -said- - so as to refer back to the previously recited "mobile portal" found in claim 1.

As per claim 3, line 2, "a" before "mobile portal" should be changed to - -said- - so as to refer back to the previously recited "mobile portal" found in claim 1.

As per claim 4, line 2, "a" before "mobile portal" should be changed to - -said- - so as to refer back to the previously recited "mobile portal" found in claim 1. Also, on lines 1-2, "a " after "identifying" should be changed to - -said- - so as to refer back to the previously recited "user" found in claim 1.

As per claim 5, line 2, "a" after "accessing" should be changed to - -said- - so as to refer back to the previously recited "mobile portal" found in claim 1.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-5 are rejected under 35 U.S.C. 102(b) as being anticipated by Laursen et al. (US Patent No. 6,065,120).

As per claim 1, Laursen et al disclose a method and system for accessing a remote terminal having web browsing capabilities from a wireless phone. The remote terminal comprise:

- A display;
- means for accessing a mobile portal 102;
- means for retrieving information for viewing on a portable device or wireless phone (column 6, lines 19-29);
- means for presenting at least some of the retrieved information to a user on the display (see column 7, line 32 to column 8, line 34).

As per claim 2, Laursen et al disclose the means for accessing the mobile portal includes a wireless transceiver and a software component which interfaces with the wireless transceiver and a terminal application to retrieve information from the mobile portal and configure the information to a format suitable for presentation on the display by the terminal application. See column 7, line 32 to column 8, line 34 and figures 2A-2b.

As per claim 3, most wireless devices include means for printing data presented on their screen.

As per claim 4, the terminal comprise means for identifying a user to determine whether the user has provided a mobile portal with personalized information and means for retrieving any such personalized information from the mobile portal (see column 7, lines 2-31).

Art Unit: 3692


As per claim 5, Laursen et al disclose means for accessing a transaction authorization system is separate from the means for accessing the mobile portal. See figures 2a and 2b and columns 7-8.

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Frantzy Poinvil whose telephone number is (571) 272-6797. The examiner can normally be reached on Monday-Thursday from 7:00AM to 5:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Fisher can be reached on (571) 272-6779. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.


Frantzy Poinvil
Primary Examiner
Art Unit 3692

FP
August 25, 2007

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known


Application Number	10/051,358
Filing Date	January 18, 2002
First Named Inventor	Paul Nielsen et al.
Group Art Unit	2661 3692
Examiner Name	Unknown
Attorney Docket Number	9764.00

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JUN 19 2002

(use as many sheets as necessary)

Sheet	1	of	2
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Attorney Docket Number	9764.00
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U.S. PATENT DOCUMENTS

[illegible]

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JUN 21 2002

GROUP 3600

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Page
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
EP			01/03080	WO		01-11-2001	_____	
FR			00/49547	WO		08-24-2000	_____	
FR			1 069 500	EP		01-17-2001	_____	
FR			1 136 961	EP		09-26-2001	_____	
FR			0 537 756	EP		04-21-1993	_____	
EP			98/36552	WO		08-20-1998	_____	
FR			01/01300	WO		01-04-2001	_____	

**Examiner
Signature**

F Power

Date
Considered

9/5/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10/051,358
Filing Date	January 18, 2002
First Named Inventor	Paul Nielsen et al.
Group Art Unit	2661 3692
Examiner Name	Unknown - F. P. ...
Attorney Docket Number	9764.00

(use as many sheets as necessary)

Sheet 2 of 2

Attorney Docket Number 97 64 . 00

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JUN 19 2002

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner
Signature**

F Point

Date
Considered

9/6/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burdan Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICATION'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

9198.00

SERIAL NUMBER

10/051,353

APPLICANT

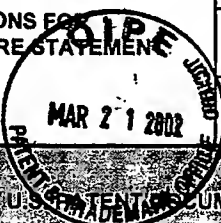
Jonathan S. Black et al.

FILING DATE

January 18, 2002

GROUP

2104 3692



REFERENCE DESIGNATION

Exam. Initial		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
<i>JP</i>	AA	5	3	8	9	7	7	3	02/95	Coutts et al.			
	AB												
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												

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MAR 26 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
													YES	NO
<i>JP</i>	AJ	2	2	8	2	2	5	3	03/95	GB				
<i>JP</i>	AK	9	8	2	5	3	7	1	06/98	WO				
<i>JP</i>	AL	9	6	3	2	6	8	7	10/96	WO				
<i>JP</i>	AM		3	1	5	2	7	8	11/00	JP				
<i>JP</i>	AN		9	6	2	7	4	7	03/97	JP				
<i>JP</i>	AO	6	1	5	2	7	7	3	05/94	JP				
<i>JP</i>	AP	10	1	4	9	4	0	0	06/98	JP				
	AR													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AS		
AT		
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EXAMINER

F. P. Jones

DATE CONSIDERED

9/6/07

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Notice of References Cited

Application/Control No.

10/051,358

Applicant(s)/Patent Under
Reexamination
NIELSEN ET AL.

Examiner

Frantzy Poinvil

Art Unit

3692

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,065,120	05-2000	Laursen et al.	726/5
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9764.00

INFORMATION
DISCLOSURE STATEMENT

Application of

Paul Nielsen et al.

MAR 14 2002

Serial No. 10/051,358

Group Art Unit: 2661

Filed: January 18, 2002

Examiner: Unknown

FOR: SELF-SERVICE TERMINAL

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on ~~MAR 14 2002~~ (Date of Deposit).


Shirley Doll

Assistant Commissioner for Patents
Washington, D.C. 20231

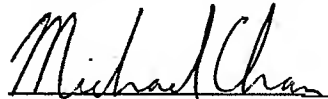
Sir:

In accordance with 37 CFR 1.56 and 1.97-1.98 with regard to the above-named application, please find enclosed a copy of the reference(s) listed on the enclosed form entitled "List of Patents and Publications For Applicant's Information Disclosure Statement".

The above reference(s) was/were cited in a Search Report from the GB Patent Office with regard to a application corresponding to the named US application.

A copy of the Search Report is enclosed herewith.

Respectfully submitted,



Michael Chan

Reg. No. 33,663

Attorney for Applicant(s)

NCR Corporation, Law Department, WHQ5E
1700 S. Patterson Blvd., Dayton, OH 45479-0001
Tel. No. 937-445-4956/Fax No. 937-445-3733

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICATION'S INFORMATION DISCLOSURE STATEMENT**

ATTY. DOCKET NO.

9764.00

SERIAL NUMBER

10/051,358

APPLICANT

Paul Nielsen et al.

FILING DATE

January 18, 2002

GROUP

2661

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Exam. Initial		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5	8	6	7	1	5	3	02/99	Grandcolas et al.			
	AB	6	0	8	4	5	2	8	07/00	Beach et al.			
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
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✓	AK	0	9	6	4	3	7	4	12/99	EP				
✓	AL	0	9	0	3	9	0	3	03/99	EP				
✓	AM	9	8	4	0	8	2	6	09/98	WO				
✓	AN		3	1	5	2	7	8	11/00	JP				
✓	AO	20	0	0	0	4	9	8	05/00	DE				
✓	AP		1	0	9	6	2	0	04/01	JP				
	AR													

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DATE CONSIDERED

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